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Federal Research Support

MIT has historically viewed teaching and research as inseparable parts of its academic mission. Therefore, the Institute recognizes its obligation to encourage faculty to pursue research activities that hold the greatest promise for intellectual advancement. MIT maintains one of the most vigorous programs of research of any university, and conducts basic and applied research principally at two Massachusetts locations, the MIT campus in Cambridge and MIT Lincoln Laboratory, a Federally-Funded Research and Development Center (FFRDC) in Lexington.

MIT pioneered the federal/university research relationship, starting in World War II. Initially called upon by the federal government to serve the national war effort, that relationship has continued into the present day, helping MIT fulfill its original mission of serving the nation and the world. All federal research on campus is awarded competitively, based on the scientific and technical merit of the proposals. In FY 2011, there were 2,476 active awards and 565 members of research consortiums.

Research activities range from individual projects to large-scale, collaborative, and sometimes international endeavors. Peer-reviewed research accomplishments form a basis for reviewing the qualifications of prospective faculty appointees and for evaluations related to promotion and tenure decisions.
The bar graphs for campus research expenditures above and on the following pages show the amount MIT expended by fiscal year (July 1 — June 30).

These figures do not include expenditures for MIT Lincoln Laboratory. Information for Lincoln Laboratory begins on page 55.

Federal research expenditures include all primary contracts and grants, including sub-awards from other organizations where the federal government is the original funding source.
MIT Research Expenditures by Primary Sponsor

<table>
<thead>
<tr>
<th>Primary Sponsor</th>
<th>2011</th>
<th>% of Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>DOD</td>
<td>$107,753,196</td>
<td>16%</td>
</tr>
<tr>
<td>DOE</td>
<td>$89,562,126</td>
<td>14%</td>
</tr>
<tr>
<td>HHS</td>
<td>$152,664,013</td>
<td>23%</td>
</tr>
<tr>
<td>NASA</td>
<td>$28,079,693</td>
<td>4%</td>
</tr>
<tr>
<td>NSF</td>
<td>$74,859,339</td>
<td>11%</td>
</tr>
<tr>
<td>Other Federal</td>
<td>$16,602,212</td>
<td>3%</td>
</tr>
<tr>
<td>Industry</td>
<td>$100,762,512</td>
<td>15%</td>
</tr>
<tr>
<td>Non-Profits</td>
<td>$44,436,470</td>
<td>7%</td>
</tr>
<tr>
<td>State, Local and Foreign Govts.</td>
<td>$32,968,834</td>
<td>5%</td>
</tr>
<tr>
<td>Internal</td>
<td>$13,136,876</td>
<td>2%</td>
</tr>
<tr>
<td>Grand Total</td>
<td>$660,825,271</td>
<td></td>
</tr>
<tr>
<td>Federal</td>
<td>$469,520,579</td>
<td>71%</td>
</tr>
<tr>
<td>Non-Federal</td>
<td>$191,304,692</td>
<td>29%</td>
</tr>
</tbody>
</table>
The Institute provides the faculty with the infrastructure and support necessary to conduct research, much of it through contracts, grants, and other arrangements with government, industry, and foundations. The Office of Sponsored Programs provides central support related to the administration of sponsored research programs, and it assists faculty, other principal investigators, and their local administrators in managing and identifying resources for individual sponsored projects. In addition, a Research Council — which is chaired by the vice president for research and associate provost and composed of the heads of all major research laboratories and centers — addresses research policy and administration issues. The Resource Development Office also works with faculty to generate proposals for foundation or other private support.

The Institute sees profound merit in a policy of open research and free interchange of information among scholars. At the same time, MIT is committed to acting responsibly and ethically in all its research activities. As a result, MIT has policies related to the suitability of research projects, research conduct, sources of support, use of human subjects, sponsored programs, relations with intelligence agencies, the acquisition of art and artifacts, the disposition of equipment, and collaborations with research-oriented industrial organizations. These policies are spelled out on the Policies and Procedures website and on the Office of Sponsored Programs website.

http://web.mit.edu/policies/
http://web.mit.edu/osp/

The red line represents an adjustment for inflation, using the Consumer Price Index for all Urban Consumers (CPI-U) as the deflator with the most recent fiscal year as the base.
MIT and the American Recovery and Reinvestment Act (ARRA)

<table>
<thead>
<tr>
<th>Source of ARRA Awards at MIT</th>
<th>Number of Awards</th>
<th>Obligated Total Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>DOE</td>
<td>23</td>
<td>$52,115,838</td>
</tr>
<tr>
<td>NIH</td>
<td>87</td>
<td>$56,692,582</td>
</tr>
<tr>
<td>NSF</td>
<td>57</td>
<td>$28,833,406</td>
</tr>
<tr>
<td>NASA</td>
<td>3</td>
<td>$885,603</td>
</tr>
<tr>
<td>All other agencies</td>
<td>4</td>
<td>$1,116,849</td>
</tr>
</tbody>
</table>

The following are a selection of some of the various research projects at MIT supported by ARRA:

**ARPA-E: Energy Storage for the Nation’s Energy Grid**

With a nearly $7 million five-year grant from the newly formed ARPA-E (Advanced Research Projects Agency-Energy), a group led by Prof. Donald Sadoway is developing an innovative solution to the problem of storing huge amounts of energy as part of the nation’s energy grid—a liquid metal battery. The first of its kind, the all-liquid battery is designed to use low-cost, abundant molten metals. ARPA-E predicts the liquid battery technology “could revolutionize the way electricity is used and produced on the grid, enabling round-the-clock power from America’s wind and solar power resources, increasing the stability of the grid, and making blackouts a thing of the past.”


**Neutrino Physics at MIT**

New findings from physicists at MIT may force scientists to rethink the Standard Model, the theory that serves as the foundation of particle physics. Scientists led by Prof. Janet Conrad at MIT’s Neutrino and Dark Matter Group have observed unexpected behavior in neutrinos, tiny particles generated by nuclear reactions in the sun. These unexpected behaviors suggest there are more types of neutrinos than the three specified in the Standard Model. To investigate these observations, the group is designing a state-of-the-art 100-ton liquid argon chamber detection device in collaboration with the Fermi National Acceleration Laboratory. The detector is scheduled to begin operating in 2013.

http://www2.lns.mit.edu/neutrino/mixing.html

MIT’s ARRA expenditures through December 31, 2010 total $50,791,161.

For the quarter 10/1/2010 to 12/31/2010 MIT reported that 468.23 jobs were created with ARRA funding.

The 2009 economic stimulus package, the American Recovery and Reinvestment Act (ARRA) provided support for science funding at a time when universities nationwide were facing funding cutbacks and financial concerns due to the recession. Overall, ARRA provided $22 billion in one-time research and development (R&D) funding for fiscal years 2009 (FY09) and 2010 (FY10), in addition to regularly appropriated funds. This funding was included in the legislation to help fulfill its purpose of “reinvestment”; since R&D support is directly related to the nation’s innovation capacity and therefore its longer term economic strength, the Congress allocated approximately 2 percent of the total funding in the legislation to R&D.

In most cases, ARRA R&D funding was applied toward existing research proposals that had received high ratings within agencies but had not been awarded due to funding limitations. In some cases, however, ARRA funding was applied toward new initiatives. For example at DOE, ARRA included the initial funding ($400 million) for the new Advanced Research Projects Agency—Energy (ARPA-E) and full five-year funding for additional Energy Frontier Research Centers (EFRCs). MIT has received several ARPA-E awards to date, and houses two EFRCs, one of which is funded through ARRA.
The Broad Institute separated from MIT on July 1, 2009. The chart below displays Broad Institute research expenditures funded through MIT. Four MIT faculty members are currently core members of the Broad Institute. Their research expenditures are not reflected in the campus research expenditures totals found in the rest of this section.

The Broad Institute is founded on two principles—that this generation has a historic opportunity and responsibility to transform medicine, and that to fulfill this mission, we need new kinds of research institutions, with a deeply collaborative spirit across disciplines and organizations. Operating under these principles, the Broad Institute is committed to meeting the most critical challenges in biology and medicine.

Broad scientists pursue a wide variety of projects that cut across scientific disciplines and institutions. Collectively, these projects aim to: Assemble a complete picture of the molecular components of life; Define the biological circuits that underlie cellular responses; Uncover the molecular basis of major inherited diseases; Unearth all the mutations that underlie different cancer types; Discover the molecular basis of major infectious diseases; and Transform the process of therapeutic discovery and development.

http://www.broadinstitute.org/

<table>
<thead>
<tr>
<th>Broad Institute Research Expenditures by Sponsor</th>
<th>Fiscal Years 2007-2011</th>
</tr>
</thead>
<tbody>
<tr>
<td>Broad Institute</td>
<td>2007</td>
</tr>
<tr>
<td>HHS</td>
<td>$87,315,284</td>
</tr>
<tr>
<td>NSF</td>
<td>$2,107,756</td>
</tr>
<tr>
<td>Other Federal Agencies</td>
<td>$1,377,190</td>
</tr>
<tr>
<td>Industry</td>
<td>$11,242,651</td>
</tr>
<tr>
<td>Non-Profit Organizations</td>
<td>$7,683,458</td>
</tr>
<tr>
<td>Internal</td>
<td>$549,160</td>
</tr>
<tr>
<td>Total</td>
<td>$110,275,500</td>
</tr>
</tbody>
</table>

http://www.broadinstitute.org/
The Angstrom Project

Computer chips’ clocks have stopped getting faster, making it difficult to maintain the regular doubling of computer power that we now take for granted. To keep up, chip makers have been giving chips more “cores,” or processing units; but distributing computations across these multiple cores is a complex problem.

In August 2010, the U.S. Department of Defense’s Defense Advanced Research Projects Agency announced that it was dividing almost $80 million among four research teams as part of a “ubiquitous high-performance computing” initiative. Three of those teams are led by commercial chip manufacturers. The fourth is led by MIT’s Computer Science and Artificial Intelligence Lab, and will concentrate on the development of multicore systems.

The MIT-led Angstrom team will rethink computing and create a fundamentally new computing architecture to meet the challenges of extreme-scale computing. One component of this goal is to create more efficient channels of communication among the multiple cores. A personal computer today may have between 4 and 8 cores. Angstrom researchers hope to enable communication between hundreds or even thousands of cores. They are also working to develop a self-aware operating system that would communicate with this complex network of cores. The multicore operating system would constantly monitor each of the cores, and would judge how to best distribute tasks among them.

Nanoparticle Vaccine Delivery

One of the barriers to developing vaccines for diseases like HIV, Malaria, and Hepatitis B, where vaccines containing the virus would be too dangerous or difficult to make, is how to provoke a strong immune response. Current vaccines that do not use a killed or altered virus do this by delivering synthetic versions of proteins produced by the virus. These vaccines, while safer, do not provoke a strong immune response. A nanoparticle developed by Darrell Irvine, may solve this problem. The particle is a series of concentric fatty droplets called liposomes. Irvine hopes that encasing the proteins in this virus-like packaging could promote a stronger immune response. Existing liposome packagings have failed because liposomes have poor stability in blood and bodily fluids. Irvine’s concentric spheres approach creates a particle that is less likely to break down too quickly following injection. However, once the nanoparticles are absorbed by the cell, they degrade quickly, releasing the vaccine and provoking an immune response.

Irvine is now collaborating with scientists at the Walter Reed Army Institute of Research to test the nanoparticles’ ability to deliver an experimental malaria vaccine in mice. His work is sponsored by the Department of Defense, as well as the National Institutes of Health, and the Gates Foundation.

MIT Campus Research Expenditures
Fiscal Years 2007-2011

<table>
<thead>
<tr>
<th>Department of Defense</th>
<th>2007</th>
<th>2008</th>
<th>2009</th>
<th>2010</th>
<th>2011</th>
</tr>
</thead>
<tbody>
<tr>
<td>Campus Research</td>
<td>$90,570,607</td>
<td>$87,369,854</td>
<td>$97,528,094</td>
<td>$106,890,338</td>
<td>$107,753,196</td>
</tr>
<tr>
<td>Constant $</td>
<td>$98,090,582</td>
<td>$91,243,050</td>
<td>$100,449,250</td>
<td>$109,036,718</td>
<td>$107,753,196</td>
</tr>
</tbody>
</table>

Constant $ calculated using the CPI-U weighted for the fiscal year with 2011 = 100

Leading Departments, Laboratories and Centers
Receiving Support in the Most Current Year

Research Laboratory of Electronics  
Computer Science and Artificial Intelligence Laboratory  
Institute for Soldier Nanotechnologies  
Microsystems Technology Laboratories  
Mechanical Engineering  
McGovern Institute for Brain Research  
Aeronautics and Astronautics  
Materials Science and Engineering  
Laboratory for Information and Decision Systems  
Media Laboratory

In the fall term of the 2010-2011 Academic Year, 349 graduate students held research assistantships and 83 held fellowships funded at least in part by the Department of Defense.
Department of Energy
Selected Current Projects

Detecting Cosmic Rays
Although physicists understand a lot about the composition of conventional atomic matter, these familiar materials represent only a small part of the universe’s total mass and energy, about 4 percent. The composition of the other 96 percent is a mystery. Now a team of researchers from 56 institutions is working to solve this mystery with an instrument that measures cosmic rays, charged particles in space, before they react with the Earth’s atmosphere. On its final mission, the Space Shuttle Endeavor delivered the instrument, the Alpha Magnetic Spectrometer (AMS) to the International Space Station—transforming the station into a high-energy physics laboratory, with access to the most powerful accelerator in the universe, the universe itself. The AMS will search for primordial antimatter, the identity of dark matter, and the origin of cosmic rays. The principal investigator of the AMS experiment is Nobel Laureate and MIT professor Samuel Ting, who led the design, construction, and commissioning of AMS with his Electromagnetic Interactions Group at the MIT Laboratory for Nuclear Science.

The AMS at a test facility
Photo Credit: Michele Famiglietti AMS-02 Collaboration

The original agreement to develop the AMS experiment for the International Space Station was signed by NASA and the U.S. Department of Energy. The AMS is expected to operate for the lifetime of the International Space Station.

http://web.mit.edu/lns/research/emi.html

Improved Nuclear Energy
MIT is committed to making nuclear power safer and more efficient. It is a primary partner in the DOE Energy Innovation Hub on Modeling and Simulation for Nuclear Reactors. The Consortium for Advanced Simulation of Light Water Reactors (CASL), led by Oak Ridge National Laboratory (ORNL), includes 4 national labs, 3 universities, and 3 industry organizations. It is a rare collaboration among veteran researchers and technology application groups to achieve improved energy sources, in this case putting the power of modern computing into a multi-scale representation of nuclear plants. MIT has a team of 7 faculty members working with the Hub, aided by 2 research scientists, 3 postdocs, and 9 graduate students.

CASL aims to provide state-of-the-art simulation models of the important physics that govern the behavior of nuclear power reactors. In particular, CASL aims to improve the reliability of nuclear plant operation by enabling better prediction of materials failures limits and safety margins in the plants. The simulation tools will enable plants to avoid some of the limiting factors in the operation of plants. This includes materials phenomena, such as corrosion in the radiation environment, and thermal hydraulic phenomena, such as deposition of crud on fuel elements, thereby limiting heat transfer conditions from the fuel to the coolant under realistic conditions of plant chemistry. Such improved models will aid the design of future reactors with enhanced safety and economics.

MIT Campus Research Expenditures
Fiscal Years 2007-2011

<table>
<thead>
<tr>
<th>Department of Energy</th>
<th>2007</th>
<th>2008</th>
<th>2009</th>
<th>2010</th>
<th>2011</th>
</tr>
</thead>
<tbody>
<tr>
<td>Campus Research</td>
<td>$64,898,790</td>
<td>$65,610,631</td>
<td>$65,773,294</td>
<td>$73,273,733</td>
<td>$89,562,126</td>
</tr>
<tr>
<td>Constant $</td>
<td>$70,287,263</td>
<td>$68,519,226</td>
<td>$67,743,332</td>
<td>$74,745,084</td>
<td>$89,562,126</td>
</tr>
</tbody>
</table>

*Constant $ calculated using the CPI-U weighted for the fiscal year with 2011 = 100*

**Leading Departments, Laboratories and Centers Receiving Support in the Most Current Year**

- Plasma Science and Fusion Center
- Laboratory for Nuclear Science
- Materials Processing Center
- Research Laboratory of Electronics
- Nuclear Science and Engineering
- Materials Science and Engineering
- Chemical Engineering
- Center for Global Change Science
- Chemistry
- Earth, Atmospheric and Planetary Sciences

In the fall term of the 2010-2011 Academic Year, 187 graduate students held research assistantships and 22 held fellowships funded at least in part by the Department of Energy.
Department of Health and Human Services
Selected Current Projects

Convergence: A New Era of Cancer Research
On October 9, 2007, MIT announced the launch of a major new initiative in cancer research, supported by a $100 million gift from MIT alumnus David H. Koch. The David H. Koch Institute for Integrative Cancer Research, which opened officially in March 2011, will address one of the most pressing challenges to human health: the ultimate eradication of cancer, starting with real improvements in detection, treatment, and prevention.

The Koch Center strives to foster a new era of cancer research based on convergence, which is the principle of merging distinct technologies, devices, and disciplines into a unified whole that creates a host of new pathways and opportunities. The promise of the convergence approach is outlined in an MIT White Paper released in January 2011 by 12 members of the MIT faculty. “The Third Revolution: The Convergence of Life Science, Physical Science, and Engineering” outlines this new approach to life sciences that will enable advances in translational medicine and the future of personalized medicine.

The Koch Institute brings together more than 40 laboratories and more than 500 researchers from the fields of engineering, physical, and life sciences, including cancer biologists, genome scientists, chemists, engineers, and computer scientists. These scientists will press the front line of cancer research. Areas of research include developing nanotechnology-based cancer drugs; improving detection and monitoring; exploring the molecular and cellular basis of metastasis; advancing personalized medicine through analysis of cancer pathway and drug resistance; and engineering the immune system to fight cancer.

Invisibility Cloaking Devices
Researchers at the Singapore-MIT Alliance for Research and Technology (SMART) Centre have created a device that can render an object the size of a peppercorn invisible. The team’s “cloaking” device, which hides an object from view in ordinary visible light, is unique among previous attempts at invisibility. Other existing cloaking devices hide only microscopic objects, do not affect light from the full visible spectrum, or use rare or difficult to manufacture materials. The “shields” used in this experiment were made from calcite crystal, a component of which, calcite, occurs naturally in sea shells. The team placed a metal wedge 2 mm in height on a mirror covered in a layer of calcite crystal. Shields of calcite crystal with opposite crystal orientations were glued together and suspended over the wedge. When viewed from a certain angle, the wedge “disappears,” and is undetectable. The research team was led by MIT Mechanical Engineering Professor George Barbastathis, SMART postdoctoral fellow Baile Zhang, MIT postdoctoral fellow Yuan Luo, and SMART researcher Xiaogang Liu, and the research was funded by and the U.S. National Institutes of Health and Singapore’s National Research Foundation.

http://prl.aps.org/abstract/PRL/v106/i3/e033901

http://ki.mit.edu/approach
MIT Campus and Broad Institute Research Expenditures*
Fiscal Years 2007-2011

*The Broad Institute separated from MIT on July 1, 2009 and no longer receives funding through MIT. The chart below displays both campus research expenditures and Broad Institute research expenditures funded through MIT.

<table>
<thead>
<tr>
<th>Research Expenditures</th>
<th>2007</th>
<th>2008</th>
<th>2009</th>
<th>2010</th>
<th>2011</th>
</tr>
</thead>
<tbody>
<tr>
<td>Campus</td>
<td>$114,242,082</td>
<td>$113,348,419</td>
<td>$116,960,115</td>
<td>$136,923,238</td>
<td>$152,664,013</td>
</tr>
<tr>
<td>Broad Institute</td>
<td>$78,238,123</td>
<td>$112,958,244</td>
<td>$138,935,579</td>
<td>$7,637,672</td>
<td>$0</td>
</tr>
<tr>
<td>Total HHS</td>
<td>$201,557,366</td>
<td>$226,306,663</td>
<td>$255,895,734</td>
<td>$144,560,910</td>
<td>$152,664,013</td>
</tr>
<tr>
<td>Constant $</td>
<td>$123,727,472</td>
<td>$118,373,284</td>
<td>$120,463,339</td>
<td>$139,672,683</td>
<td>$152,664,013</td>
</tr>
</tbody>
</table>

Constant $ calculated using the CPI-U weighted for the fiscal year with 2011 = 100

Leading Departments, Laboratories and Centers
Receiving Support in the Most Current Year

Koch Institute for Integrative Cancer Research
Biology
Chemistry
Harvard/MIT Division of Health Sciences and Technology
Picower Institute for Learning and Memory
McGovern Institute for Brain Research
Biological Engineering
Center for Environmental Health Sciences
Chemical Engineering
Research Laboratory of Electronics

In the fall term of the 2010-2011 Academic Year, 212 graduate students held research assistantships and 159 held fellowships funded at least in part by the National Institutes of Health.

The following MIT faculty and alumni have received the NIH Pioneer Award:

Current Faculty: Leona Sampson, 2009; Aviv Regev, 2008; Alice Ting, 2008; Alex von Oudenaarden, 2008; Emery Brown, 2007; Arup Chakrabarty, 2006.

Former Faculty: James Sherley, 2006

Alumni: Joshua M. Epstein, 2008; Krishna V. Shenoy, 2009
**NASA**  
**Selected Current Projects**

**Detecting Ancient Radio Waves**  
Astronomers at MIT’s Haystack Observatory are building a radio array telescope in the Australian Outback that is orders of magnitudes more sensitive than any other existing instrument. The telescope, the Murchison Widefield Array, or MWA, should help to answer questions about a poorly understood period of the universe’s formation called the Epoch of Reionization, or EOR. After the Big Bang, but before the formation of stars, there was no light in the universe. During this time, gravity caused hydrogen and helium particles to form clouds. The energy from this condensation ignited the clouds, creating the first stars, and with them, light. It is nearly impossible to detect this early light, so astronomers hope to learn more about the birth of the stars by detecting ancient radio waves.

The MWA is unique in its construction. It will consist of 8,000 antennas spread across 1.5 km of a radio-silent area of the Australian Outback. The telescope will have no moving parts. Instead, it will use sophisticated computation to transform the huge amount of data it collects into images of the sky. This digital approach gives the MWA an expansive field of view, and allows astronomers to focus on a particular area in the sky without having to physically point the telescope.

In addition to studying ancient remnants of the EOR, the MWA will also study our sun and the surrounding heliosphere to improve our understanding of how space weather affects the earth. The MWA is an international collaboration led by MIT Haystack Observatory. It is supported by NASA, as well as federal sources and institutional partners within the United States, Australia and India.


**Probing the Violent Universe**  
The Chandra X-ray observatory, launched in July 1999, is one of NASA’s major astronomical satellites. X-rays mark the most energetic phenomena in the universe including black holes, highly active stars, supernovae and their remnants, quasars, and the ten million degree gas that permeates clusters of galaxies. Chandra carries by far the best X-ray telescope ever built, one capable of making images at X-ray wavelengths that are comparable to those made by the best ground-based optical telescopes in visible light. MIT’s Kavli Institute for Astrophysics and Space Research (formerly the Center for Space Research) built two of the four scientific instruments that record the radiation focused by the telescope. A great majority of the observations performed with Chandra use one or both of these instruments, which were developed over more than a decade using technological advances made both on campus and at MIT Lincoln Laboratory. The specialized, X-ray sensitive Charge Coupled Devices (CCDs) and the periodic, submicron structures at the cores of these instruments remain unique in the world. They provide astronomers with orders of magnitude improvements in imaging and spectroscopic sensitivity. MIT’s own researchers continue to use Chandra to probe the violent universe and also participate in the Chandra X-ray Center, which operates the observatory from Cambridge, Massachusetts.

[http://chandra.si.edu](http://chandra.si.edu)
MIT Campus Research Expenditures
Fiscal Years 2007-2011

<table>
<thead>
<tr>
<th></th>
<th>2007</th>
<th>2008</th>
<th>2009</th>
<th>2010</th>
<th>2011</th>
</tr>
</thead>
<tbody>
<tr>
<td>NASA</td>
<td>$27,888,708</td>
<td>$25,479,571</td>
<td>$27,358,036</td>
<td>$30,629,006</td>
<td>$28,079,693</td>
</tr>
<tr>
<td>Campus Research</td>
<td>$30,204,275</td>
<td>$26,609,109</td>
<td>$28,177,462</td>
<td>$31,244,042</td>
<td>$28,079,693</td>
</tr>
<tr>
<td>Constant $</td>
<td>$30,204,275</td>
<td>$26,609,109</td>
<td>$28,177,462</td>
<td>$31,244,042</td>
<td>$28,079,693</td>
</tr>
</tbody>
</table>

Constant $ calculated using the CPI-U weighted for the fiscal year with 2011 = 100

Leading Departments, Laboratories and Centers
Receiving Support in the Most Current Year

Kavli Institute for Astrophysics and Space Research
Earth, Atmospheric and Planetary Sciences
Aeronautics and Astronautics
Earth System Initiative
Haystack Observatory
Center for Global Change Science
Harvard/MIT Division of Health Sciences and Technology
Research Laboratory of Electronics
Mechanical Engineering
Civil and Environmental Engineering

In the fall term of the 2010-2011 Academic Year, 66 graduate students held research assistantships and 2 held fellowships funded at least in part by the NASA.
**National Science Foundation**

**Selected Current Projects**

**Solar-Power Breakthrough**
MIT researchers led by Daniel Nocera have created what they call an artificial leaf—a device that can turn energy from the sun into a storable fuel source. The artificial leaf takes the form of a wireless solar cell that splits water molecules into hydrogen and oxygen gases, which can then be stored for later use. The cell is made of a silicon solar cell with a different catalytic material bonded to each side. When it is placed in water and exposed to sunlight, one side generates H2 bubbles, and the other side generates O2 bubbles.

The artificial leaf is unique among existing solar-powered water-splitting systems, which use corrosive or rare materials. The device is made entirely of inexpensive, abundant materials such as silicon, cobalt, and nickel. It needs only sunlight and water at room temperature to operate. Nocera hopes that these properties will lead to an energy system that is safe and cheap enough to be widely adopted in homes around the world, including in areas without reliable access to electricity.

The team is currently working on the next step in creating a commercially viable device—collecting and storing the gases produced by the catalysts.


**Mind-Machine Interface**
MIT researchers at a new multi-institution research center hope to make robotic systems that are truly integrated with the body’s nervous system. The NSF Engineering Research Center for Sensorimotor Neural Engineering was launched with an $18.5 million grant from the NSF. Its mission is to “develop innovative ways to connect a deep mathematical understanding of how biological systems acquire and process information with the design of effective devices that interact seamlessly with human beings.” Researchers from MIT and the University of Washington, among others, will develop new technologies for amputees, and people with spinal cord injuries, cerebral palsy, stroke, Parkinson’s disease, and age-related neurological disorders. Scientists at MIT and partner institutions will work to perform mathematical analysis of the body’s neural signals; design and test implanted and wearable prosthetic devices; and build new robotic systems.


**Printable Solar Cells**
In conventional solar cells, the costs of the inactive components — the substrate (usually glass) that supports the active photovoltaic material, the structures to support that substrate, and the installation costs—are typically greater than the cost of the active components of the cells themselves, sometimes twice as much. Researchers at MIT have come up with a method of printing solar cells directly on to paper—a method that may greatly decrease the cost and increase the versatility of solar power. The technique represents a major departure from the systems used to create most solar cells, which require exposing the substrates to potentially damaging conditions, either in the form of liquids or high temperatures. The new printing process uses vapors, not liquids, and temperatures less than 120 degrees Celsius. These conditions make it possible to use ordinary untreated paper, cloth or plastic as the substrate on which the solar cells can be printed. The resilient solar cells still function even when folded into a paper airplane. Researchers also printed a solar cell on a sheet of PET plastic (a thinner version of the material used for soda bottles) and then folded and unfolded it 1,000 times, with no significant loss of performance. By contrast, a commercially produced solar cell on the same material failed after a single folding. The work was supported by the National Science Foundation and the Eni-MIT Alliance Solar Frontiers Program.

Leading Departments, Laboratories and Centers Receiving Support in the Most Current Year

Computer Science and Artificial Intelligence Laboratory
Research Laboratory of Electronics
Earth, Atmospheric and Planetary Science
Kavli Institute for Astrophysics and Space Research
Haystack Observatory
Mathematics
Chemistry
Center for Materials Science and Engineering
Earth System Initiative
Mechanical Engineering

In the fall term of the 2010-2011 Academic Year, 269 graduate students held research assistantships and 222 held fellowships funded at least in part by the National Science Foundation.

The National Science Foundation has awarded the Faculty Early Career Development (CAREER) Award to 106 current MIT faculty and staff members.
Graphene for Commercial Production

A team of MIT researchers has found a way to manufacture graphene that may eliminate some of the existing barriers to its commercial use. Graphene, a form of carbon arranged in a chicken-wire shaped lattice just one atom thick, is a material that could revolutionize how nanoelectronics are made. It is incredibly conductive, stronger than steel, flexible, and even transparent. Graphene is such an extraordinary material that two scientists from the University of Manchester won the 2010 Nobel Prize in physics for its discovery. However, there is no method of producing graphene that is suitable for large-scale production. Furthermore, a single layer of graphene has a low or no band gap, making it impossible to turn off transistors, computer chips, and solar cells made from it.

The research team, led by Michael Strano, soaked purified graphite in solutions of either bromine or chlorine compounds. The compounds found their way into the structure of the material, inserting themselves between layers, to create graphene flakes two or three layers thick. The resulting bilayer and trilayer graphene also had a band gap. The team hopes that this manufacturing method will dramatically lower the cost of producing graphene and speed the commercial production of graphene-based devices. Their work was supported by grants from the U.S. Office of Naval research, as well as the Army Research Office through the Institute for Soldier Nanotechnologies at MIT.


Safer Skies

In the last 10 years alone, 112 small planes have been involved in midair collisions, and thousands more have reported close calls. In an effort to reduce the number of collisions, the Federal Aviation Administration (FAA) has mandated that by 2020, all commercial aircraft—and small aircraft flying near most airports—must be equipped with a new tracking system that broadcasts GPS data. In anticipation of the deadline, the FAA has charged MIT with leading an investigation of the system’s limits and capacities. In October, 2011 at the 30th Digital Avionics Systems Conference in Seattle, MIT researchers will present an early result of that investigation, a new algorithm that uses data from the tracking system to predict and prevent collisions between small aircraft.

The main challenge in designing a collision-detection algorithm is limiting false alarms. If a warning system using the algorithm goes off too easily, then pilots may ignore it, or turn the system off. At the same time, it needs to have room for error. While GPS is more accurate than radar tracking, it’s not perfect; nor are the communications channels that planes would use to exchange location information. Moreover, any prediction of a plane’s future position can be thrown off by unexpected changes of trajectory. Much of the work on the new algorithm thus involves optimizing the trade-off between error tolerance and false alarms. Researchers hope to begin live testing of the algorithm soon.

MIT Campus Research Expenditures  
Fiscal Years 2007-2011

<table>
<thead>
<tr>
<th>Other Federal Agencies</th>
<th>2007</th>
<th>2008</th>
<th>2009</th>
<th>2010</th>
<th>2011</th>
</tr>
</thead>
<tbody>
<tr>
<td>Campus Research</td>
<td>$13,053,766</td>
<td>$13,249,945</td>
<td>$13,445,035</td>
<td>$12,636,795</td>
<td>$16,602,212</td>
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<tr>
<td>Constant $</td>
<td>$14,137,605</td>
<td>$13,837,330</td>
<td>$13,847,740</td>
<td>$12,890,544</td>
<td>$16,602,212</td>
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</tbody>
</table>

Constant $ calculated using the CPI-U weighted for the fiscal year with 2011 = 100

Other Federal Agencies include: Department of Transportation, Department of Commerce, Department of the Interior, Department of Education, Department of Agriculture, Nuclear Reactor Commission, Environmental Protection Agency, etc.

Leading Departments, Laboratories and Centers  
Receiving Support in the Most Current Year

Aeronautics and Astronautics  
Center for Transportation and Logistics  
Sea Grant College Program  
Computer Science and Artificial Intelligence Lab  
Center for Global Change Science  
Earth, Atmospheric and Planetary Science  
Mechanical Engineering  
Economics  
Research Laboratory of Electronics  
Laboratory for Nuclear Science

In the fall term of the 2010-2011 Academic Year, 50 graduate students held research assistantships and 20 held fellowships funded at least in part by other federal agencies.
Non-Profit Organizations
Selected Current Projects

Synthetic Vocal Cords
In 1997, the actress and singer Julie Andrews lost her singing voice following surgery to remove non-cancerous lesions from her vocal cords. She came to Steven Zeitels, a professor of laryngeal surgery at Harvard Medical School, for help. Zeitels was already starting to develop a new type of material that could be implanted into scarred vocal cords to restore their normal function. In 2002, he enlisted the help of MIT’s Robert Langer, an expert in developing polymers for biomedical applications. The team led by Langer and Zeitels has now developed a polymer gel that they hope to start testing in a small clinical trial in 2012. The gel, which mimics key traits of human vocal cords, could help millions of people with voice disorders—not just singers such as Andrews and Steven Tyler, another patient of Zeitels’. The team hopes that the polymer will benefit those with voices strained from overuse, children whose cords are scarred from intubation during surgery, and victims of laryngeal cancer. The project is funded by the Institute of Laryngology and Voice Restoration, which consists of patients whose mission is to support and fund research and education in treating and restoring voice.


Protein linked to memory and learning may lead to novel Alzheimer’s treatments
Findings from the Picower Institute for Learning and Memory may lead to new drugs for Alzheimer’s disease and other debilitating neurological diseases. Sirtuin1, an enzyme associated with Resveratrol, a compound found in red wine, is known to slow the aging process. In the brain, it does this by shielding neurons from damage. A team of researchers lead by Prof. Li-Huei Tsai found that it also increases synaptic plasticity, the ability to strengthen or weaken neural connections in response to new information. This means that, in addition to preventing damage, Sirtuin1 actually promotes new learning and memory. Researchers hope to use this finding to create Sirtuin1-based treatments for neurodegenerative diseases. The research is supported by the National Institutes of Health, as well as the Simons Foundation, the Swiss National Science Foundation, and the Howard Hughes Medical Institute.


Simons Initiative on Autism and the Brain
Disorders of learning and development affect up to 5 in 100 individuals in the United States. A subset affected by Autism Spectrum Disorders (ASD) includes approximately one in every 150 children. Recent advances in neuroscience, including neurogenetics, systems neuroscience, and cognitive neuroscience, have the promise of significantly advancing our understanding of the causes of ASD and other pervasive developmental disorders, and help in their treatment. To be effective, however, a research effort requires close interaction between neuroscientists, cognitive scientists, and clinicians.

In 2005, the Simons Foundation awarded a five-year grant to fund autism research in 6 BCS labs at MIT under the Simons Autism Project. The projects aim to use advanced research tools and methods to develop accurate diagnosis and treatment for children with ASD and related developmental disorders, and for developing animal models of ASD. In 2009, the Simons Foundation established a three-year grant to improve the infrastructure for autism research at MIT. This grant includes several components: funding for postdoctoral fellows and seed research grants, and funds for a colloquium series. With the help of the SFARI, MIT’s autism research effort has grown into the Simons Initiative on Autism and the Brain. Many MIT researchers are members of the Autism Consortium, a collaboration of 75 clinicians and researchers across 13 Boston-area institutions to seek the causes and develop therapies for autism.

http://autism.mit.edu/
MIT Campus and Broad Institute Research Expenditures*
Fiscal Years 2006-2010

*The Broad Institute separated from MIT on July 1, 2009 and no longer receives funding through MIT. The chart below displays both campus research expenditures and Broad Institute research expenditures funded through MIT.

<table>
<thead>
<tr>
<th>Research Expenditures</th>
<th>2007</th>
<th>2008</th>
<th>2009</th>
<th>2010</th>
<th>2011</th>
</tr>
</thead>
<tbody>
<tr>
<td>Campus</td>
<td>$24,515,221</td>
<td>$28,324,003</td>
<td>$37,161,950</td>
<td>$46,846,106</td>
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<td>Broad Institute</td>
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<td>$19,370,397</td>
<td>$23,376,207</td>
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<td>Total NPO</td>
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<td>$38,275,022</td>
<td>$47,786,785</td>
<td>$44,436,470</td>
</tr>
</tbody>
</table>

*Constant $ calculated using the CPI-U weighted for the fiscal year with 2011 = 100

Leading Departments, Laboratories and Centers
Receiving Support in the Most Current Year

Mechanical Engineering
Technology and Development Program
Earth System Initiative
McGovern Institute for Brain Research
MIT-SuTd Collaboration
Koch Institute for integrative Cancer Research
Civil and Environmental Engineering
Brain and Cognitive Sciences
Civil and Environmental Engineering
Economics